

APPLICATION NO.

10/649,929

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NEW YORK, NY 100368403

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FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 08/26/2003 Srikant Sridevan IR-1760 6112 EXAMINER 03/24/2004 OSTROLENK FABER GERB & SOFFEN WEISS, HOWARD 1180 AVENUE OF THE AMERICAS **ART UNIT** PAPER NUMBER

> 2814 DATE MAILED: 03/24/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

KD.

	Application No.	Applicant(s)	
Office Action Summary	10/649,929	SRIDEVAN ET AL.	
	Examiner	Art Unit	
	Howard Weiss	2814	
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address	
A SHORTENED STATUTORY PERIOD FOR REPLY THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	6(a). In no event, however, may a reply be tim within the statutory minimum of thirty (30) days ill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONED	ely filed s will be considered timely. the mailing date of this communication. O (35 U.S.C. § 133).	
Status			
1) Responsive to communication(s) filed on 26 Au	<u>igust 2003</u> .		
2a) ☐ This action is <b>FINAL</b> . 2b) ☑ This	☐ This action is <b>FINAL</b> . 2b) ☐ This action is non-final.		
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is			
closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.			
Disposition of Claims			
4)⊠ Claim(s) <u>1-20</u> is/are pending in the application.			
4a) Of the above claim(s) is/are withdrawn from consideration.			
5) Claim(s) is/are allowed.			
6)⊠ Claim(s) <u>1-20</u> is(are rejected.			
7) Claim(s) is/are objected to.			
8) Claim(s) are subject to restriction and/or	election requirement.		
Application Papers			
9)⊠ The specification is objected to by the Examine	·.		
10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.			
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).			
Replacement drawing sheet(s) including the correcti	on is required if the drawing(s) is obj	ected to. See 37 CFR 1.121(d).	
11)☐ The oath or declaration is objected to by the Ex	aminer. Note the attached Office	Action or form PTO-152.	
Priority under 35 U.S.C. § 119			
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of:			
1.☐ Certified copies of the priority documents have been received.			
2. Certified copies of the priority documents have been received in Application No			
3. Copies of the certified copies of the priority documents have been received in this National Stage			
application from the International Bureau (PCT Rule 17.2(a)).			
* See the attached detailed Office action for a list of the certified copies not received.			
Attachment(s)			
1) Notice of References Cited (PTO-892)	4) Interview Summary		
2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date	Paper No(s)/Mail Da 5) Notice of Informal Pa 6) Other:	atent Application (PTO-152)	

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Attorney's Docket Number: ir-1760

Filing Date: 8/26/03

Continuing Data: claims benefit of 60/406,386 (8/27/02) and 60/408,518 (9/4/02)

Claimed Foreign Priority Date: none Applicant(s): Sridevan et al. (Kinzer)

**Examiner: Howard Weiss** 

### **Drawings**

1. Figures 1 to 12 should be designated by a legend such as --Prior Art-- because only that which is old is illustrated. See MPEP § 608.02(g). A proposed drawing correction or corrected drawings are required in reply to the Office action to avoid abandonment of the application. The objection to the drawings will not be held in abeyance.

## Claim Objections

- 2. Claim 2 recites the limitation "the mesa regions" in Line 2. There is insufficient antecedent basis for this limitation in the claim.
- 3. Claim 14 recites the limitation "said layers" in Line 1. There is insufficient antecedent basis for this limitation in the claim.
- 4. In Claim 14, "1.5E16" should be changed to ---1.5 x 10<sup>16</sup>---.

## Claim Rejections - 35 USC § 102

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

<sup>(</sup>b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

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6. Claims 1 to 20 are rejected under 35 U.S.C. 102(b) as being anticipated by Coe (U.S. Patent No. 4,754,310).

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Coe shows all aspects of the instant invention (e.g. Figures 1 to 14) including:

- > a P-type silicon substrate 10
- > first 21 and second 22 laterally spaced, parallel trenches
- ➤ a plurality of thin layers consisting of N-type 11 and P-type 12 forming a channel region 3 each of said layers having a thickness of about 0.75 microns and the same total charge (Column 8 line 49 to Column 9 Line 5)
- > source diffusion 16 and contact 26, drain diffusion 13 and contact 23
- > trench gate **41** filling said trenches and oxide **25** centrally located between said source and drain

#### Conclusion

- 7. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Fujihira (U.S. Patent No. 6,566,709), Disney (U.S. Patent No. 6,509,220) and Xu et al (IEDM 2000) show devices similar to the instant invention.
- 8. Papers related to this application may be submitted directly to Art Unit 2814 by facsimile transmission. Papers should be faxed to Art Unit 2814 via the Art Unit 2814 Fax Center located in Crystal Plaza 4, room 3C23. The faxing of such papers must conform with the notice published in the Official Gazette, 1096 OG 30 (15 November 1989). The Art Unit 2814 Fax Center number is (703) 308-7722 or -7724. The Art Unit 2814 Fax Center is to be used only for papers related to Art Unit 2814 applications. The official TC2800 Before-Final, (703) 872-9318, and After-Final, (703) 872-9319, Fax numbers will provide the fax sender with an auto-reply fax verifying receipt of their fax by the USPTO.
- 9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Howard Weiss at (571) 272-1720 and between the

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hours of 8:00 AM to 4:00 PM (Eastern Standard Time) Monday through Friday or by e-mail via **Howard.Weiss@uspto.gov**.

Any inquiry of a general nature or relating to the status of this application should be directed to the Group 2800 Receptionist at **(703) 308-0956**.

10. The following list is the Examiner's field of search for the present Office Action:

Field of Search	Date
U.S. Class / Subclass(es): 257/ 330, 492	3/12/04
Other Documentation: PLUS Analysis Report	3/9/04
Electronic Database(s): EAST, IEL, PAJ	3/12/04

HW/hw 16 March 2004 Howard Weiss Patent Examiner Art Unit 2814